

**FORMAT OF INDEMNITY BOND FOR TRANSFER OF SHARES ALONGWITH ISSUE OF DUPLICATE SHARE/S
CERTIFICATE WITHOUT PRODUCING TRANSFER DEED**

(Please type this indemnity bond after carefully reading the foot notes in the below mentioned format)

To,
The Company Secretary,
(Name of the Company)
Address of the Company

WHEREAS

(Number of shares) Equity shares of following description of the face value of Rs. ___/- each of (name of the company) having its registered office at (address of the company) are standing in the name of (name of the registered shareholder(s)) in the Register of Members of the (name of the company).

Folio No.	Certificate Nos.	Distinctive Nos.	Shares covered in each certificate
-----------	------------------	------------------	------------------------------------

WHEREAS:

1. The abovementioned shares were purchased from the market for valuable consideration by the Transferee(s). through (Name of the Stock and Share Broker) and Member of (name of the Stock Exchange) vide Brokers/Bill No. _____ dated _____, the copy of which is annexed to this indemnity bond. The payment for the same has been made to the said Broker vide Cheque No. _____ dated _____ and drawn on (name of the bank) for Rs. _____ which stand paid, as confirmed by broker vide his/their letter dated _____ and the copy of the same is annexed to this Indemnity Bond.
2. The above share certificate(s) alongwith the Transfer Deed(s) duly signed by the Transferor(Registered holder(s)) and by Transferee(s) were sent by the Transferee(s) to the Company/its agent and has/have been lost or misplaced in transit and the same is/are not traceable despite best and bonafide efforts.
3. The property, beneficial interest and right in the said share/s has/have been acquired by the Transferee(s) and so stand vested for the reason of the Transferee(s) having paid the valuable consideration and the same having been received by the Transferor(s) (in case of floor transaction - through his/her/their brokers through whom the aforesaid shares were sold.

NOW THEREFORE :

I/We, (name of the applicant(s) aged ___ years, residing / having their Registered Office at (address of the applicant/s) solemnly declare that the above mentioned shares have not been sold by me/us and the said shares belong to me/us as on the date of this declaration.

I/We therefore approach the Company with the request to issue duplicate share certificate(s) in lieu of the original and transfer the shares covered under Folio No. _____ and bearing Certificate No(s). _____ held by the Transferor(s) in my/our name and enter my/our name(s) in the Register of Members of the Company without production of Transfer Deeds. In consideration of the Company having agreed to transfer and register the aforesaid shares in my/our name on executing an indemnity bond in favour of the Company.

Upon the Company, having agreed to issued duplicate share certificates and transferring and registering the shares held by the Transferor(s) in my sole/our joint names, I/We the undersigned applicant(s) hereunder for myself/ourselves, my/our heirs, executors, administrators and assigns do hereby jointly and severally covenant with the Company, its successors and assigns and agree and undertake at all times save, defend and to indemnify and keep indemnified the Company, its successors and assigns its estate and effects, and its directors, manager, secretary and shareholders and their heirs, executors and assigns from and against all actions, suits, proceedings, accounts, claims and demands whatsoever for or on account of the said shares or

dividends or any part thereof or otherwise in connection with the same, and from and against all losses, costs, claims, actions, demands, risks, charges, expenses, damages and losses arising in any manner howsoever.

IN WITNESS WHEREOF I/We, the applicant(s) herein has/have signed and set my/our hands on this ____ day of _____ 2010.

Name and address of the Applicant(s)

Signature of the Applicant(s)

- 1.
- 2.

Name and address of Witness

Signature of the Witness

- 1.
- 2.

Place ;

Date :

SURETY

We, (name of the surety No.1) aged __ years, residing at (residential address of Surety No.1) and having business address at (official address of Surety No.1), having PAN No. _____ and (name of the surety No.2) aged __ years, residing at (residential address of Surety No.2) and having business address at (official address of Surety No.2), having PAN No, _____ the undersigned, certify that the above facts are true to the best of our knowledge. We bind ourselves sureties to make good all claims, charges, costs, damages, demands, expenses and losses which the Company, its successors and assigns its estate and effects and its directors, manager, secretary and shareholders may sustain, incur or be liable for in consequence of complying with the request contained above and the Company, its successors, assigns, directors, manager, secretary and shareholders will be entitled to realise all claims, charges, costs, damages, demands, expenses and losses from our persons and our properties, as the case may be.

Place :

Date:

Name and Address of the Surety

Signature of the Surety

- 1.
- 2.

Name and Address of the Witness

Signature of the Witness

- 1.
- 2.

NOTES:

1. Indemnity Bond should be on Non-judicial stamp paper of Rs.200/-.
2. Please fill up the details as per the documents you are annexing. Please do not just type this format as it is.
3. It should be executed by the person who is applying for transfer.
4. Indemnity Bond should be witnessed by two persons.(Not from the same family)
5. Two sureties are required to be furnished
6. Witness and Surety have to be different individuals.
7. Each Surety has to attach attested copy of his PAN Card and attested copy of his proof of identification any one of the following:-
Ration Card, Election Card, Driving Licence, Passport, Electricity Bill, Telephone Bill, etc.
8. Indemnity Bond should be Notarised.
9. In case of Floor Transaction :- Annex the copy of the Brokers/Bill as proof of purchase of shares and copy of the letter of the Broker acknowledging the proof of payment in consideration.

In case of off market purchase :- Annex proof of purchase of said shares and acknowledgment copy of the consideration paid, from the Transferor.

**FORMAT OF AFFIDAVIT FOR TRANSFER OF SHARES ALONGWITH ISSUE OF DUPLICATE SHARE/S CERTIFICATE
WITHOUT PRODUCING TRANSFER DEED**

(Please type this Affidavit after carefully reading the the foot notes in the below mentioned format)

AFFIDAVIT

I / We _____, son/daughter/spouse of _____ aged ____an Indian Inhabitant / NRI, residing / having our Registered Office at _____, do hereby solemnly affirm and declare as under:

1. That (Name of the Transferor(s)), the Registered Share Holder, was holding _____ equity shares of the face value of Rs. ___/- each., the details of which are as follows, in (the name of the company)

Folio No.	Certificate Nos.	Distinctive Nos.	Shares covered in each certificate
-----------	------------------	------------------	------------------------------------

2. (name of the transferor(s)) sold the above mentioned shares to me/us after executing proper transfer deeds. The said shares were acquired by me/ alongwith (name of the other transferee(s) – in case of joint holding) for valuable consideration.

3. That the said duly executed Transfer Deed along with the share certificate/s no. was sent by me / us to the Company/its Agent for affecting the transfer & the same among the share certificate no. have been lost misplaced in transit or not in my / our possession.

4. I / We neither sold sold the said shares anytime to any person nor pledged or created any third party rights/interests.

5. I / We have already executed indemnity bond for issuing duplicate share/s certificate/s and in order to transfer the aforesaid shares pursuant to point no. 3 above I / We have already executed Indemnity bond for the issue of Duplicate Share Certificate in my / our name.

7. I therefore request the (Name of the Company) to issue duplicate shares in lieu of the original share certificates held by the Transferors(s) and transfer the shares in my / our name in the books of the Company without production of original Transfer Deed(s).

I am executing this declaration to be submitted to the concerned authorities of the Company.

I hereby state that whatever is stated herein above are true to the best of my knowledge.

Solemnly affirmed at _____)

On this ____ day of _____ 20) (Signature of the Applicant)
Deponent

Identified by me

Before Me

Advocate

S.E.O./ Oaths Commissioner/Notary

NOTES:

1. Affidavit should be executed on Non-judicial stamp paper of Rs.100/- in case of resident of Maharashtra.
2. Please fill up the details as per the documents you are annexing. Please do not just type this format as it is.
3. It should be executed by the person who is applying for transfer (Transferee).
4. Affidavit should be attested by Notary/S.E.O/Oaths Commissioner